

Applicant or Patentee: Daniel R. Baum et al.
Serial or Patent No.: 09/450,075
Filed or Issued: November 29, 1999
For: PRINTING IMAGES IN AN OPTIMIZED MANNER

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) — SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: Shutterfly, Inc.
Address of Small Business Concern: 2800 Bridge Parkway, Suite 101, Redwood Shores, CA 94065

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled PRINTING IMAGES IN AN OPTIMIZED MANNER by inventor(s) JOHN VORIS, DANIEL R. BAUM AND JARRET L. REDD described in:

- ☐ the specification filed herewith.
☒ application serial no. 09/450,075, filed November 29, 1999.
☐ patent no. __, issued __.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Full Name: _____
Address: _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status when any new rule 53 application is filed or prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent on which this verified statement is directed.

Name:	Daniel R. Baum
Title:	Vice President
Address:	2800 Bridge Parkway, Suite 101, Redwood Shores, CA 94065

Signature: _____

Date: _____

3/8/00

**COMBINED DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled PRINTING IMAGES IN AN OPTIMIZED MANNER, the specification of which:

- ☐ is attached hereto.
☒ was filed on November 29, 1999 as Application Serial No. 09/450,075 and was amended on _____.
☐ was described and claimed in PCT International Application No. _____ filed on _____ and as amended under PCT Article 19 on _____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim the benefit under Title 35, United States Code, §119(e)(1) of any United States provisional application(s) listed below:

<u>U.S. Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
60/151,533	August 31, 1999	Pending
60/159,372	October 14, 1999	Pending
60/167,243	November 24, 1999	Pending

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information I know to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>U.S. Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
09/436,704	November 9, 1999	Pending

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

<u>Country</u>	<u>Application No.</u>	<u>Filing Date</u>	<u>Priority Claimed</u>
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Combined Declaration and Power of Attorney

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I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Wayne E. Willenberg, Reg. No. 28,488
Richard J. Anderson, Reg. No. 36,732
Ronald C. Lundquist, Ph.D., Reg. No. 37,875
Chad A. Hanson, Ph.D., Reg. No. 44,737
Rene D. Tegtmeyer, Reg. No. 33,567
Eldora L. Ellison, Ph.D., Reg. No. 39,967
John Hayden, Reg. No. 37,640

Stephen R. Schaefer, Reg. No. 37,927
Mark S. Ellinger, Ph.D., Reg. No. 34,812
Dorothy P. Whelan, Reg. No. 33,814
Monica McCormick-Graham, Reg. No. 42,600
J. Patrick Finn, Reg. No. 44,109
Jon M. Powers, Reg. No. 43,868
Steven J. Shumaker, Reg. No. 36,275
Bao Q. Tran, Reg. No. 37,955

Address all telephone calls to JON M. POWERS at telephone number (612) 335-5070.

Address all correspondence to JON M. POWERS at:

FISH & RICHARDSON P.C., P.A.
60 South Sixth Street, Suite 3300
Minneapolis, MN 55402

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: DANIEL R. BAUM

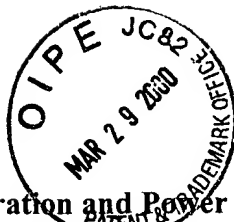
Inventor's Signature: D. R. Baum

Date: _____

Residence Address: 685 San Mateo Drive
Menlo Park, CA 94025

Citizenship: United States

Post Office Address: 685 San Mateo Drive
Menlo Park, CA 94025



Combined Declaration and Power of Attorney

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Full Name of Inventor: JARRETH L. REDD

Inventor's Signature: J. L. Redd

Date: 3-3-00

Residence Address: 10456 Porter Lane
San Jose, CA 95127

Citizenship: United States

Post Office Address: 10456 Porter Lane
San Jose, CA 95127

Full Name of Inventor: JOHN H. VORIS

Inventor's Signature: John H. Voris

Date: 3/13/00

Residence Address: 121 Wilder Avenue
Los Gatos, CA 95030

Citizenship: United States

Post Office Address: 121 Wilder Avenue
Los Gatos, CA 95030